How to make more from your online & offline fermentation data

and speed up your biotech process development with statistical modelling

Benjamin Fürst, Group leader Bioprocess design GIS Development&Biomanufacturing 26.01.2022 Benjamin.fuerst@clariant.com



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Agenda
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- Introduction to Clariant and sunliquid®
- Statistical analysis of fermentation data
 - Standard statistical modelling (Fit Model & Extrapolation Control)
 - Online data analysis with Functional Data Explorer platform
- Summary

Clariant – a global leader in specialty chemicals serving a broad industry



CARE CHEMICALS

Personal Care Industrial Applications Home Care Crop Solutions Food Ingredients Encapsulation Technologies





3 860 Sales 2020 (CHF m) from continuing operations

CATALYSIS

Catalysts <u>Biofuels & Derivatives</u>

NATURAL RESOURCES

Additives Oil Services Mining Solutions Refinery Services Functional Minerals 15,0% EBIT from

EBITDA margin 2020 from continuing operations

13 235 Total st

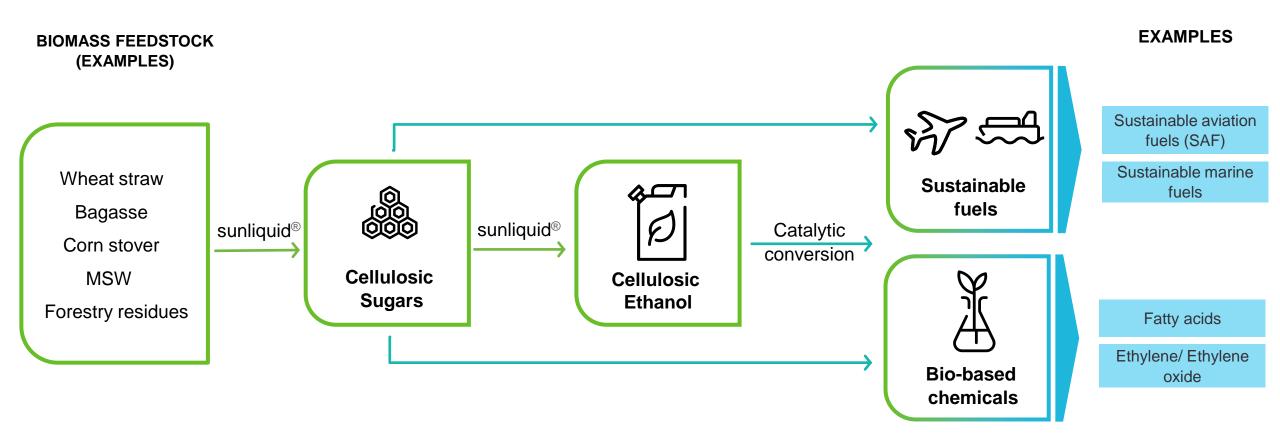
Total staff 2020 (FTE) ¹

85

Production sites worldwide in 2020 ^{1, 2}

¹ Total Group incl. discontinued operations (excl. Business Unit Masterbatches) ² Shared production sites with Business Unit Pigments are split as separate sites

sunliquid[®] - Beyond cellulosic ethanol



Clariant's Biotech facilities - Developed in Bavaria, Applied in the World



Biotechnology R&D Center (Planegg)

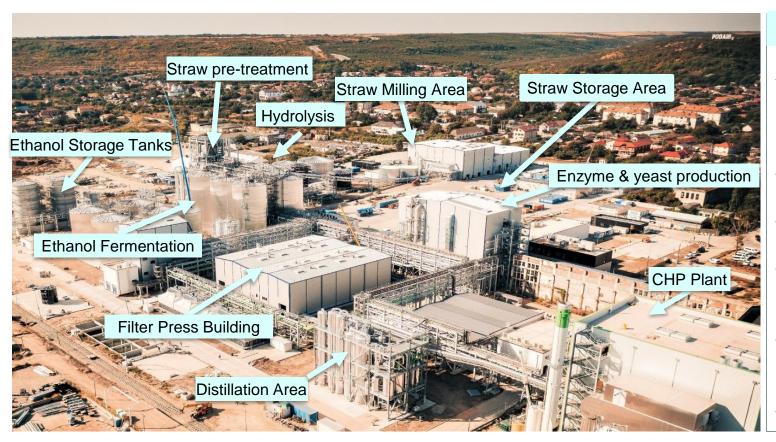
- Inaugurated in 2006
- Over 100 scientists & technician in the labs
- Competence fields: biofuels & derivatives, industrial enzymes, biobased chemicals
- Capabilities: e.g. protein engineering, strain evolution, high throughput screening, application, fermentation, downstream processing



sunliquid® pre-commercial plant (Straubing)

- Inaugurated in 2012
- 1,000 t/a EtOH; ~4,500 t/a feedstock with scaled-down commercial design reproducing all process steps
- Wide range of feedstocks tested, e.g. wheat straw, rice straw, corn stover, bagasse, sugar cane, miscanthus, woody residues

Construction of Clariant's sunliquid[®] plant in Podari(Status: Jan 2022)



KEY FACTS

- Plant capacity: 17 mio gal / 50,000 TPY of cellulosic ethanol by processing 250,000 TPY of straw (locally sourced)
- Mechanical construction finished
- Ethanol offtake secured through multiyear agreement
- Greenfield combined heat & power (CHP) plant for energy independence by GETEC
- Process by-products lignin & vinasse will be fully utilized for energy supply & as fertilizer
- Plant will act as training facility for customers

The project receives funding from the European Union's Seventh Framework Program for research, technological development and demonstration under Grant Agreement no. 322386 (SUNLIQUID) and from the Bio-Based Industries Joint Undertaking under the European Union's Horizon 2020 research and innovation program under Grant Agreement no. 709606 (LIGNOFLAG).

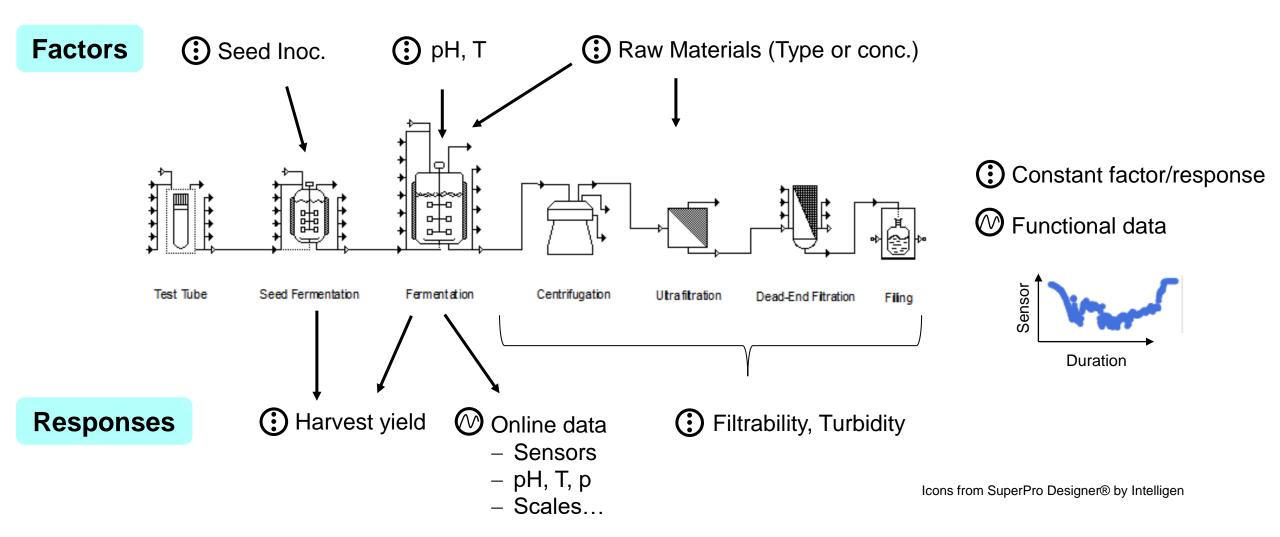






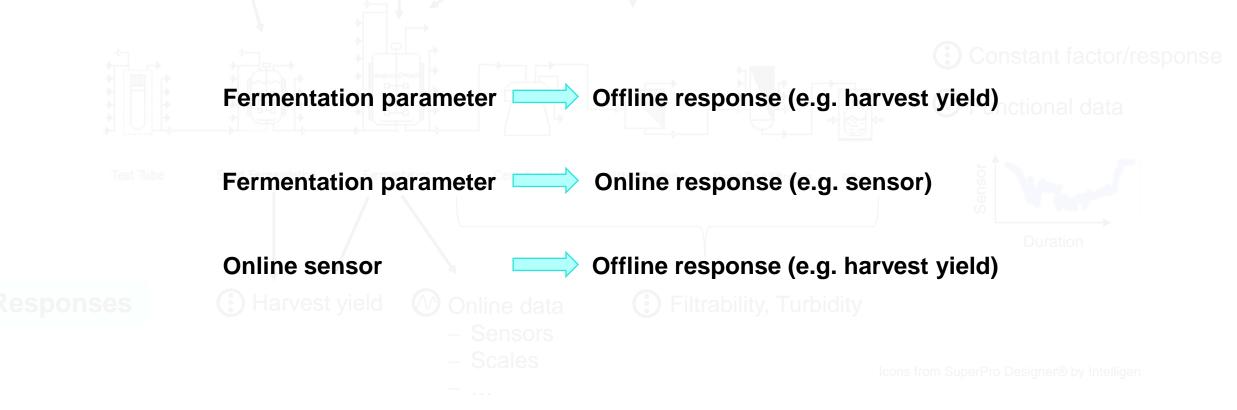
Statistical analysis of fermentation data

Fermentation + DSP Process



Fermentation + DSP Process

HOW IS ALL THE DATA INTERCONNECTED?





Standard statistical modelling (Fit Model & Extrapolation Control)

Fermentation parameter Offline response

what is precious to you?

Standard statistical modelling (Fit Model & Extrapolation Control)

Goal:

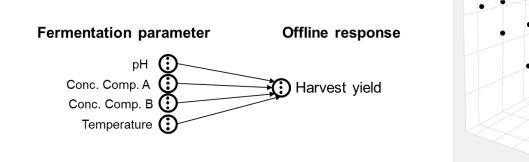
- What process parameter give a high harvest yield?
- Find an optimum in the design space
- Is this optimum stable?
- What parameters have interaction?
- What is the sensitivity of the parameters?

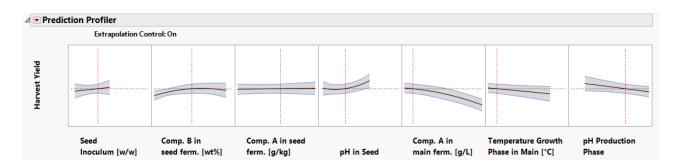
Analysis:

- Statistical Modelling in JMP with Fit Model platform
- Detailed evaluation by&with subject matter expert

Constraint:

- Experiment was not intended for statistical analysis (only "planned experiment" not a DOE)
- Non optimal design space
- → JMP Live Demo (with focus on extrapolation control)





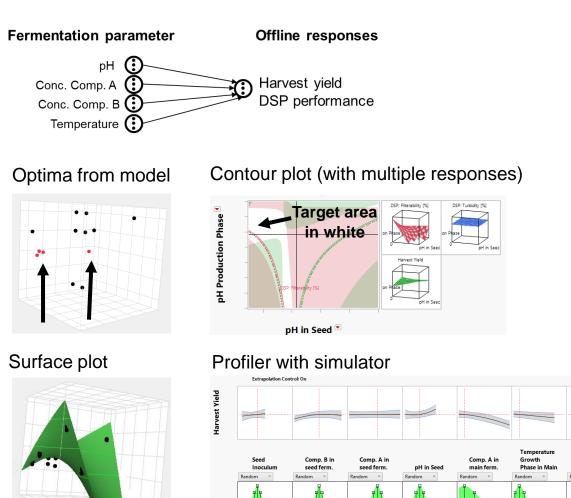
Standard statistical modelling (Fit Model & Extrapolation Control) Evaluation for harvest yield

Analysis:

- Model shows expected and unexpected relations
- Extrapolation control very valuable for limited design space
- Find optimized parameters of model in design space
 →Identified potential parameters for higher harvest yield
- Interprete stability → simulator or contour profiler
 - Adding more responses enhances process understanding
 - E.g. DSP performances (can be dependend of fermentation!)

Limits:

- Experiment had a non optimal design space
 - ightarrow Chosen optima are on the design space limit of model
 - \rightarrow Verify with additional experimental runs







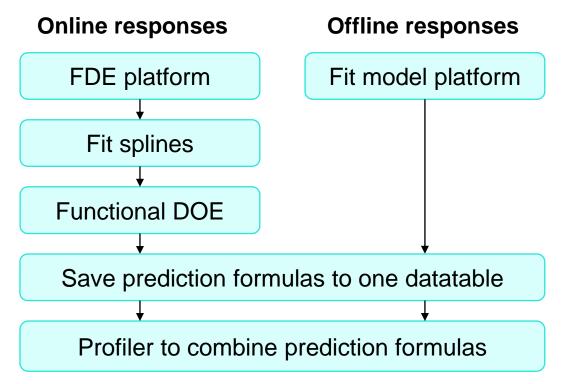
what is precious to you?

Goal:

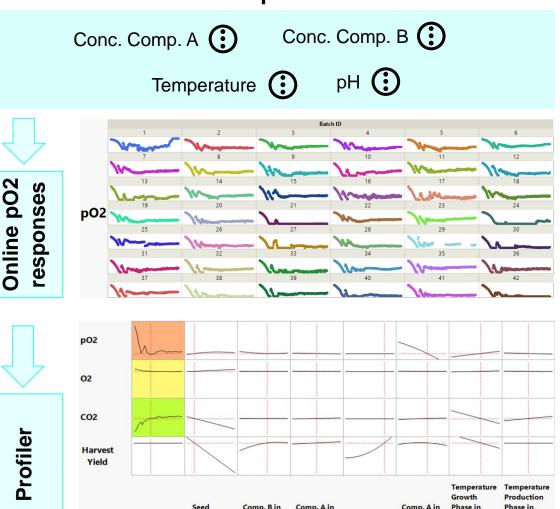
What set of parameters lead to which response curves?

- \rightarrow Fermentation online data: pO2 (solved oxygen in reactor)
- \rightarrow Add offline responses

Analysis: \rightarrow JMP Live Demo

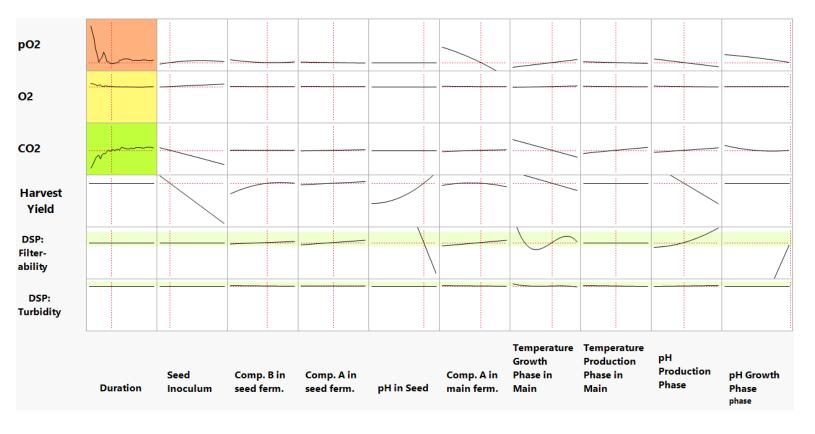


Fermentation parameter



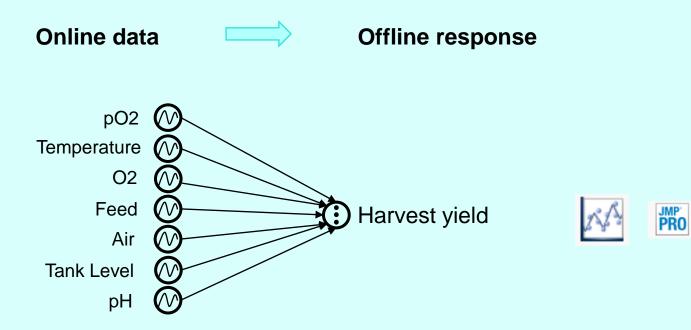
Evaluation:

- See influence of parameters on functional and constant responses
- Be aware of limits of the model (no extrapolation control here)
- In detail by subject matter expert



Apply parameter limits! → no extrapolation control here (different models)

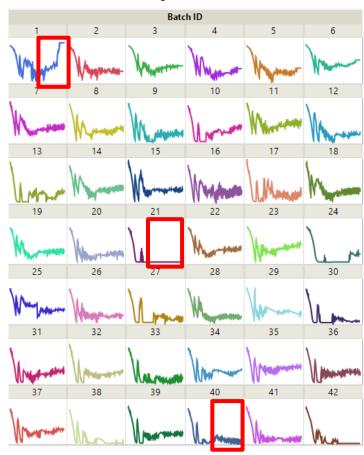




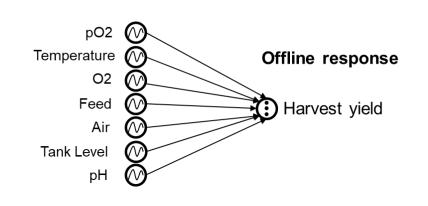
what is precious to you?

Online data

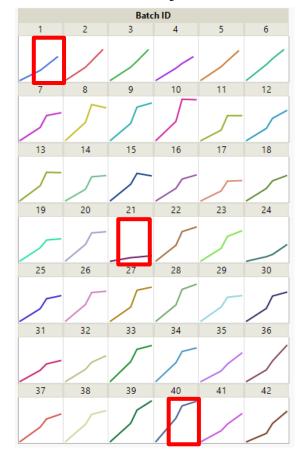
pO2



Which sensor profiles lead to a good yield?

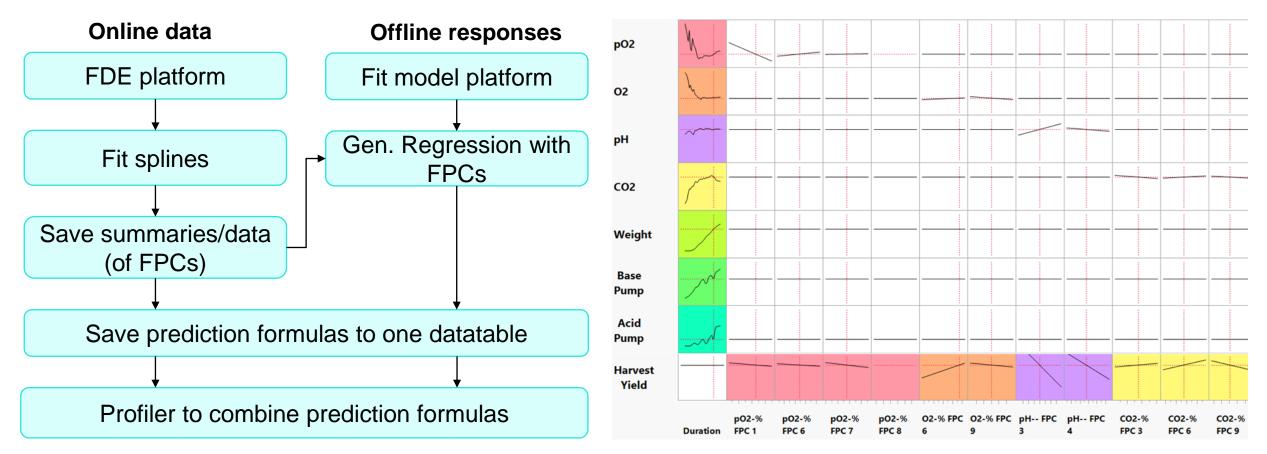






Goal: Which sensor profiles lead to a good yield?

Analysis: \rightarrow JMP Live Demo



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Summary

Statistical analysis with JMP gives the power to:

- Explore and visualize complex processes easier
- Deeper process understanding which parameters are important and interact
- Speak one language to all levels from technician to manager